

CONFIDENTIAL

DO NOT TYPE IN THIS SPACE

7177

(Classification)

FOREIGN SERVICE DESPATCH

FROM: WICOG FRANKFURT

3646

7623.52/5-2151

MAY 13, 1951

TO: THE DEPARTMENT OF STATE, WASHINGTON.

AIR POUCH

REF: RICCOO Frankfurt T-6726, January 18, 1951

DATE

SUBJECT: ERNST OSTERMANN VON ROTH

PRIORITY

For Dept.  
Use Only

B  
C  
D  
E  
F  
G  
H  
I  
J  
K  
L  
M  
N  
O  
P  
Q  
R  
S  
T  
U  
V  
W  
X  
Y  
Z

DUR  
GER  
SK  
SUB

① Ernst OSTERMANN von ROTH, Oberregierungsrat in the Federal Finance Office<sup>A</sup> to the Allied High Commission, was born on April 12, 1900 in Geisenheim over Wiesbaden, belongs to the Protestant Church, and has no formal party affiliation.

In 1928 he joined the "Graudenzscher Jugendring"; after its later combination into the Hitler Jugend he used to join the SS. From April 1, 1934 to May 30, 1938 he was in the Reich training battalions of "Freikorps Gaulehrte". At that time, he was attending the Deutsches Gymnasium in Munich, where he took his Abitur examination in March 1936. From 1933 to 1939 he studied law, economics and political science at the University of Munich. He did not take a Doctor's degree, but passed the State Examination examination in 1939 and spent the next three years in the legal preparatory service. In March 1938 he passed his Assessors examination in Munich, after which he was an attorney at the Landgericht and the Oberlandesgericht in Munich. Meanwhile in June 1938 he had joined the SS. Starting during the early 1930's he made a student trip to the Soviet Union and other European countries.

In April 1939 Ostermann took the Foreign Office civil service examination, and on June 1, 1939 entered the Foreign Office. He was assigned to Berlin until March 1940, and, after an interval of military training, was exchanged in August 1940 to the embassy in Bernau, where he remained until April 1943. Recalled to Berlin, he passed the Diplomatic-Civil Service examination on June 21, 1940. He served at Berlin until May 1942, with interruptions in the Foreign Office and for military training. After applying for NSDAP membership in December 1940 while at Bernau, he was admitted to the Party on March 1, 1941, No. 3216731.

From May 26, 1942 until December 1943 Ostermann was a Legationsrat<sup>B</sup> at the Embassy in Washington, where his duties included protocol. While in Washington he held a number of important positions in the SS and other organizations. Besides being Reich Delegate for the Winterhilfswerk (Deputy to Dr. 2037) and SSV Deputy Representative in the United States, he rose to SS Obersturmführer and was listed on the staffs of the SS Maryland and the SS Pennsylvania. On January 30, 1943 Ostermann was promoted to First Legationsrat<sup>C</sup> for the duration of his Washington assignment.

In February 1940 Ostermann requested permission of the Reichsführer SS to marry Miss Helene Davis, daughter of Dwight Davis, former Secretary of War in the Coolidge cabinet and Governor General of the Philippines. The SS

VAC  
24 MAY/51

CONFIDENTIAL

PREPARATION TIME

COORDINATED WITH State

DECLASSIFIED AND RELEASED BY  
CENTRAL INTELLIGENCE AGENCY  
SOURCES METHODS EXEMPTION 3B2B  
NAZI WAR CRIMES DISCLOSURE ACT  
DATE 2002 2006

**CONFIDENTIAL**

HICOG PRINTERED 1944

(Classification)

[redacted] pointed permission, reluctantly enough, on the grounds that Foreign Office (rather than SS) officials could not carry aliases and could not be in the service. Unfortunately there is the fact that Ostermann directed his request to SS Headquarters in the first place rather than to the Foreign Office. (Further details of the above episode are given in USPOLAD Berlin Secret Despatch 532 dated April 11 1943 and enclosure thereto).

In May 1942 he was repatriated to Germany with the other members of the embassy, and entered on duty in the Political Division of the Foreign Office on June 6, 1942. From August 1942 until early 1943 he served in Berlin as an SS Commissarober; he performed staff work, and after August 1, 1943 was the Foreign Office liaison officer with the 2nd Panzer Army. From May 31, 1943 until the end of the war he was Commandant at the Legation in Lisbon, and was in charge of the Information Service.

Ostermann's army career, which is intertwined with his Foreign Office service, [redacted] from March 18 to July 10, 1935, military training with rank of Gefreiter (Reichssport); July 23 to October 10, 1935 and April 11 to 23, 1937, service in the 1st Panzer Regiment with rank of Feldwebel (Reichs); February 29, 1938 promoted to Lieutenant (Reichs).

Official records give the details of his SS career as follows: SS No. 179,473, SS ZA Rco 72, V10; June 20, 1933 SS Auszub.; June 1, 1933 to February 1, 1933 service in SS Infanterie I as Deputy Commissar; March 1, 1933 SS Ensign; January 31, 1937 SS Obersturmführer and member of the staff of the SS Infanterie, and from April 20, 1939 to November 1943 on the staff of the SS Rco II Army; April 20, 1939 Commissarober; July 21, 1943 called from SS Infanterie in Berlin as Commissarober (Lieutenant) to the 2nd Panzer Army in Berlin. Ostermann's decorations included the Rendgen, SA Sportabzeichen and Olympia II Class.

By the time came to repatriate Germans from Portugal after the war, Allies officials found Ostermann's attitude very cooperative. He returned to Germany reluctantly and persuaded others to return. After his return, his former Nazi office [redacted] did not prevent his clearance by the Demobilization Panel. It is said that his easy pastoor security into public life was due in no small part to the friendship that had existed between Ostermann's father (now deceased) and General Sir Max FAULKS (SFU) before 1935, when General was Mayor of Alford. After serving from 1946 to 1950 as a member of the (British) Zonal Advisory Council in Norway, Ostermann was a Regierungsrat and then Oberregierungsrat in the County of Schleswig-Holstein from 1953 to 1956, and was a close advisor of Evers.

In March 1950 Ostermann was assigned by the Hamburg City Administration to the Federal Liaison Office in Bonn as Oberregierungsrat. His responsibilities for German partition, labor, interior and police affairs. In this capacity he was invited in January 1953 by General HUGO MÜLLER, Director of the Federal Liaison Office, to take part in the Allied-German discussions to form on Germany's contribution to Western defense.

**CONFIDENTIAL**

**CONFIDENTIAL**

(Classification)

HICOD PRAEFEST 3634

Oettermann, who speaks fluent English, French and also German, has full uniformly friendly relations with United States officials in Hamburg and Berlin. In appearance he is tall, dark-complexioned, distinguished, conscientious and impeccably attired. He speaks sometimes with a stutter, which in no way detracts from the forceful outward impression he creates. According to unconfirmed reports he has been married twice; his alleged first wife was named Rita, was British by birth and recovered her British nationality after their divorce, and is now working in the British Foreign Office. There is no mention of an earlier marriage in his Foreign Office or US records.

His present wife, whom he married in Hamburg on September 26, 1946, was his former secretary in the Legation in Lisbon, and was repatriated to Germany in 1948. Although she is called Fleur, her name is listed in the official German records in Berlin as Gertrude nee WAGNER. It is understood that she was born in Kiel and lived in East Prussia before going to the Legation in Lisbon, and that her parents are still residing in the East Zone.

A cousin of Harry and Eva Oettermann, Walter SIEBELS, lives in New York; his business address is Room 602, 628 Fifth Avenue, New York 10, N.Y. When Oettermann was repatriated to Germany in 1942, the storage charges on his personal effects left in the United States were paid by Mrs. Helen HENRY, Stamford, East Rocking, Connecticut.

Oettermann resides in Bad Godesberg, Friedrichstraße 6.

*R. D. Shute*

R. D. Shute

Director

Office of Intelligence

**CONFIDENTIAL**